



U.S. Department of Justice

Criminal Division

Fraud Section

Washington, D.C. 20530

November 30, 2021

Via ECF

Honorable Loretta A. Preska
United States District Judge
United States Courthouse
500 Pearl Street, Room 2220
New York, New York 10007

Re: **SEC v. Keith Berman, et al., No. 1:20-cv-10658 (LAP)**

Dear Judge Preska:

We write on behalf of the United States of America (the "Government") to notify Your Honor of the status of defendant Keith Berman's criminal case pursuant to Your Honor's June 8, 2021 order (ECF 18). Mr. Berman's criminal case is scheduled to proceed to trial on January 4, 2022, and the Government expects the trial will, in fact, begin on that date. Pursuant to Your Honor's June 8, 2021 order, the Government will provide its next update by letter by March 30, 2022.

Respectfully submitted,

Joseph Beemsterboer
Acting Chief, Fraud Section

A handwritten signature in dark ink, appearing to read "Christopher Fenton", is written over a horizontal line.

Christopher Fenton
Trial Attorney

Justin Weitz
Principal Assistant Deputy Chief